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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Steve G. Baker, Brett J. Carter, Stefan J. M. Kraemer,
Clifton A. Alferness, John M. Adams

Title: TISSUE FIXATION DEVICES AND A TRANSORAL
ENDOSCOPIC GASTROESOPHAGEAL FLAP VALVE
RESTORATION DEVICE AND ASSEMBLY USING SAME

Serial No.: 10/783,717

Filing Date: February 20, 2004

Examiner/Unit: Natalie R. Pous / 3731

Attorney Docket No.: 2234-3-3

CERTIFICATE OF MAILING OR TRANSMISSION

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Signature

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ACCOMPANYING SECTION 1.97(e) CERTIFICATION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

In compliance with 37 CFR § 1.56 and § 1.97, Applicants acting by and through the attorney of record, hereby discloses patents and other documents listed on the attached Form PTO-1449 which may be considered relevant to the examination of the subject application.

Copies of the cited non-U.S. reference is enclosed.

Certification Under Section 1.97(e)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP

A handwritten signature in black ink, appearing to read "Richard O. Gray, Jr.", with a stylized flourish at the end.

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| Sheet | 1 | of | 2 |
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Complete if Known

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|------------------------|-------------------|
| Application Number | 10/783,717 |
| Filing Date | February 20, 2004 |
| First Named Inventor | Steve G. Baker |
| Art Unit | 3731 |
| Examiner Name | Natalie R. Pous |
| Attorney Docket Number | 2234-3-3 |



U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

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| Examiner Signature | Date Considered |
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 2

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| Group Art Unit | 3731 |
| Examiner Name | Natalie R. Pous |
| Attorney Docket Number | 2234-3-3 |

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|------------------------|--------------------------|---|----------------|
| | | International Search Report for PCT/US05/04619 dated September 26, 2006 | |
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